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**Change of Correspondence Address - Patent**

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Patent No. 6,771,855

Issue Date: 8/3/2004

Application No. 10/002,703

Filing Date: 10/30/2001

First Named Inventor: Bardia Pezeshki

Docket Number: SANT0003

Please change the Correspondence Address for the above-identified patent to:

**Customer Number 78735**

NeoPhotonics Corporation is the assignee of record of the entire interest.

**STATEMENT UNDER 37 CFR 3.73(b):**

Patent Owner: NeoPhotonics Corporation

Patent title: LASER AND FIBER COUPLING CONTROL

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

**Patent Assignment Abstract of Title**

**Total Assignments: 4**

Patent #: 6271855      Issue Dt: 08/03/2004      Application #: 10002703      Filing Dt: 10/30/2001  
Publication #: 20020085806      Pub Dt: 07/04/2002  
Inventors: Bardia Pezeshki, Jay Kubicky, Ed Vail  
Title: LASER AND FIBER COUPLING CONTROL

1 of 3

PAGE 1/3 \*RCVD AT 8/14/2012 2:47:27 PM [Eastern Daylight Time] \*SVR:W-PTOFAX-002/34 \*DNIS:2738300 \*CSID: \*DURATION (mm:ss):00:43

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**Assignment: 1**

Reel/Frame: 012405/0622 Recorded: 02/20/2002 Pages: 4  
Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
Assignors: PEZESHIKI, BARDIA Exec Dt: 02/12/2002  
KUBICKY, JAY Exec Dt: 02/12/2002  
VAIL, ED Exec Dt: 02/12/2002  
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**Assignment: 2**

Reel/Frame: 022783/0137 Recorded: 06/04/2009 Pages: 9  
Conveyance: SECURITY AGREEMENT  
Assignor: SANTUR CORPORATION Exec Dt: 05/28/2009  
Assignee: SILICON VALLEY BANK  
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**Assignment: 3**

Reel/Frame: 027090/0703 Recorded: 10/19/2011 Pages: 8  
Conveyance: RELEASE OF SECURITY INTEREST  
Assignor: SILICON VALLEY BANK Exec Dt: 10/12/2011  
Assignee: SANTUR CORPORATION  
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**Assignment: 4**

Reel/Frame: 027269/0214 Recorded: 11/22/2011 Pages: 6  
Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
Assignor: SANTUR CORPORATION Exec Dt: 11/21/2011  
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The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

NEOPHOTONICS CORPORATION



8-10-2012

Date

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